

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 8	0 5	1 1

 to

YYYY	MM	DD
2 0 1 8	1 2	3 1

- Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)
- Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

Harrison

Given Name(s)

James

Office for which the candidate sought election

Mayor

Ward name or no. (if any)

Municipality

City of Quinte West

Spending Limit - General

\$ 33,834.70

Spending Limit - Parties and Other Expressions of Appreciation

\$ 3383.47

I did not accept any contributions or incur any expenses. *(Complete Boxes A and B only)*

Box B: Declaration

I, James Harrison, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.


Signature of Candidate

May 27 / 2019
Date (yyyy/mm/dd)

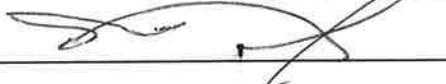
Date Filed (yyyy/mm/dd)

2019 03 27

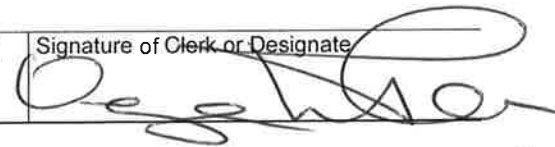
Time Filed

2:43 pm

Initial of Candidate or Agent (if filed in person)



Signature of Clerk or Designate



Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution _____

Amount borrowed \$ _____

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	23,141.11
Revenue from items \$25 or less	+ \$	_____
Sign deposit refund	+ \$	_____
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	_____
Interest earned by campaign bank account	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Campaign Income (Do not include loan)	= \$	23,141.11 c1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	1,322.00
Advertising	+ \$	6,127.68
Brochures/flyers	+ \$	3,202.89
Signs (including sign deposit)	+ \$	6,054.07
Meetings hosted	+ \$	_____
Office expenses incurred until voting day	+ \$	4,172.49
Phone and/or internet expenses incurred until voting day	+ \$	194.67
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	_____
Bank charges incurred until voting day	+ \$	63.30
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. <u>Nomination fee</u>	+ \$	200.00
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to general spending limit	= \$	21,337.10 c2

EXPENSES**Expenses subject to spending limit for parties and other expressions of appreciation**

1. <u>Thank you advertising</u>	+ \$	352.56
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	352.56 c3

Expenses not subject to spending limits

Accounting and audit	+ \$	1,356.00	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$		
Office expenses incurred after voting day	+ \$		
Phone and/or internet expenses incurred after voting day	+ \$		
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$	38.50	
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Other (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Total Expenses not subject to spending limits	= \$	1,394.50	C4

Total Campaign Expenses (C2 + C3 + C4) = \$ 23,084.16 **C5**

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	56.95	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
Total (D1 – D2)	= \$	56.95	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	56.95	
Surplus (or deficit) for the campaign	= \$	0	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I – Summary of Contributions

Contributions in money from candidate and spouse	+	\$		
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+	\$	10,313.69	
Total value of contributions not exceeding \$100 per contributor				
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).		\$	627.42	
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)				
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).		\$	12,200.00	
Less:				
Contributions returned or payable to the contributor	-	\$		
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	-	\$		
Total Amount of Contributions (record under Income in Box C)	=	\$	23,141.11	1A

Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
See attached schedule			11,900.00	
Total			11,900.00	

Additional information is listed on separate supplementary attachment

Total 11,900.00

Jim Harrison - Campaign 2018
TOTAL PUBLIC CONTRIBUTIONS EXCEEDING \$ 100

Line 1B - Page 4, Table 1

	AMOUNT	NAME	ADDRESS	ADDRESS	CITY	PROV	P. CODE
	\$ 1,200.00	Lloyd Jones	625 King St. W.		Cobourg	ON.	K9A 2P3
	\$ 1,200.00	Ginesia Cortellucci	110 Feynrose Cres.		Woodbridge	ON.	L4L 7B2
	\$ 1,000.00	Carla DeWal	293 Johnstown Rd		Trenton	ON.	K8V 5P8
	\$ 1,000.00	Doug DeWal	1297 Hamilton Rd	Stn Main	Trenton	ON.	K8V 5P8
	\$ 1,000.00	John Davis	23 Forest Valley Dr.		Frankford	ON.	K0K 2C0
	\$ 750.00	John McDonald	Apt. 401, 80 Catherine St.		Trenton	ON.	K8V 6N8
	\$ 750.00	Alex Gurney	8 Couch Cres.		Trenton	ON.	K8V 1G8
	\$ 500.00	Robert Haggerty	P.O. Box 1388 Stn Main		Belleville	ON.	K8N 5J1
	\$ 500.00	Wayne Scaletta	P.O. Box 1313 Stn Main		Trenton	ON.	K8V 5R9
	\$ 500.00	John Smylie	144 Hennessy Rd		Trenton	ON.	K8V 5P7
	\$ 500.00	Mary Hanley	31 Keegan Pky, Unit 14		Belleville	ON.	K8N 5N8
	\$ 500.00	Stephanie Stickler	21 Charleston Crt		Belleville	ON.	K8N 0H1
	\$ 500.00	Peter Kempenaar	R.R. 4		Stirling	ON.	K0K 3E0
	\$ 400.00	Roger Lichty	1332 County Rd. 3,	R.R. 3	Carrying Place	ON.	K0K 1L0
	\$ 250.00	Eben James	P.O. Box 100, Stn Main		Trenton	ON.	K8V 45R1
	\$ 250.00	T. Gwen James	R.R.1, 370 Old Orchard Rd		Carrying Place	ON.	K0K 1L0
	\$ 250.00	Katherine O'Flynn	358 Old Orchard Rd.	R.R. 1	Carrying Place	ON.	K0K 1L0
	\$ 250.00	Eben James	R.R. 1		Carrying Place	ON.	K0K 1L0
	\$ 200.00	Carol Mason	10 Forchuk Cres.		Trenton	ON.	K8V 6N1
	\$ 200.00	Don O'Neill	1 Patrick St.		Trenton	ON.	K8V 4B2
	\$ 200.00	George Bonn	80 Division St.		Trenton	ON.	K8V 5S5
	\$ 11,900.00	TOTAL DEPOSITS					

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Ken Allison	120 Spring St. Trenton ON, K8V 3Z2	Cleaning, Preparing & lettering care	2018/08/22	300.00
			Total	300.00

Additional information is listed on separate supplementary attachment

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions)

\$ 12,200.00 1B

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Decals signs	2018/08/12	189.84
Signs & stands, decals	2018/08/22	3,027.27
Radio advertising - Starboard communications	2018/10/15	1,856.03
Postage - Canada Post	2018/10/05	57.50
Office rental - for 3 months	2018/08/15	3,339.00
Re-elect buttons	2018/06/15	322.05
Signs from prev. election - table 4		1,322.00
Nomination fee	2018/05/11	200.00
		Total
		10,313.69

Additional information is listed on separate supplementary attachment

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
Campaign signs	2014/05/15	Willow Publishing & Printing	200	1,322.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total	1,322.00

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity Not applicable - no fund raising events

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

\$ _____ 2A
X _____ 2B

Total Part I (2A X 2B) (include in Part 1 of Schedule 1)

= \$ 0

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____

Total Part II (include in Part 1 of Schedule 1)

= \$ _____

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____

Total Part III (include under Income in Box C)

= \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____
6. _____ + \$ _____
7. _____ + \$ _____
8. _____ + \$ _____

Total Part IV Expenses (include under Expenses in Box C)

= \$ _____

Auditor's Report**Municipal Elections Act, 1996 (Section 88.25)**

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA, CA, CGA

Municipality

Trenton

Date (yyyy/mm/dd)

2019/03/27

Contact Information

Last Name or Single Name

Lay

Given Name(s)

Jeff

Licence Number

1-21298

Address

Suite/Unit No.

Street No.

71

Street Name

Dundas St West

Municipality

City of Quinte West

Province

Ontario

Postal Code

K8V 3P4

Telephone No. (including area code)

613 392-2592

Email Address

jeffl@wilkinson.net

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

INDEPENDENT AUDITORS' REPORT

To Ontario Ministry of Municipal Affairs and Mr. Harrison of Jim Harrison Election Campaign

We have audited the accompanying campaign period financial statements of Jim Harrison Election Campaign, which comprise the statement of campaign income and expenses for the campaign period from May 11, 2018 to December 31, 2018 and determination of surplus for the period then ended, and other explanatory information. The financial statements have been prepared by the Candidate of Jim Harrison Election Campaign based on the financial reporting provisions of the Municipal Elections Act, 1996.

Qualified Opinion

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, these financial statements present fairly, in all material respects, the income and expenses of Jim Harrison Election Campaign and the campaign surplus/deficit for the campaign period from May 11, 2018 to December 31, 2018 in accordance with the financial reporting provisions for the Municipal Elections Act, 1996.

Basis for Qualified Opinion

Due to the inherent nature of the transactions of organizations of this type, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of income and expenses was limited to the amounts recorded in the records of Jim Harrison Election Campaign and we were not able to determine whether any adjustments might be necessary to income, expenses and period surplus/deficit for the campaign period from May 11, 2018 to December 31, 2018.

Responsibilities of Management for the Financial Statements

The Candidate is responsible for the preparation and fair presentation of these financial statements in accordance with the financial reporting provisions of the Municipal Elections Act, 1996, and for such internal controls as the Candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our objectives are to obtain reasonable assurance about whether the financial statement as a whole is free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of the financial statement.

INDEPENDENT AUDITORS' REPORT
Page 2

As part of an audit in accordance with Canadian generally accepted auditing standards, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.

We believe that the audit evidence we have obtained, including verifying campaign contributions to the campaign bank account statement, is sufficient and appropriate to provide basis for our qualified audit opinion.

Basis of Accounting and Restriction on Distribution and Use

The financial statements are prepared to assist Jim Harrison Election Campaign to comply with the financial reporting provisions of the Municipal Elections Act, 1996. As a result, the financial statements may not be suitable for another purpose. Our report is intended solely for use of the Candidate of Jim Harrison Election Campaign and Ontario Ministry of Municipal Affairs and should not be distributed to or used by parties other than Jim Harrison Election Campaign and Ontario Ministry of Municipal Affairs.

Wilkinson & Company LLP

Trenton, Canada
March 27, 2019

Chartered Professional Accountants
Licensed Public Accountants